



EAST COAST RAILWAY

प्रमुख मुख्य कार्मिक अधिकारी का कार्यालय/
Office of the Principal Chief Personnel Officer
द्वितीय तल, - 751 017
Rail Sadan, IInd Floor, Bhubaneswar-751 017

स्थापना क्रमांक/ Estt.Srl. No.230/2019
पूतरे/कार्मिक/आर/ECOR/Pers/R/DAC

RBE No-167/2019
Date: 16.10.2019

सेवा मे,
सभी प्रमुख विभागाध्यक्षों/समन्वयक विभागाध्यक्षों, पू त रे/भुवनेश्वर
मं.रे.प्र/व.मं.का.अधिकारी/मं.का.अधिकारी-खोरधारोड,वालातेरू, संबलपुर,
मु.कारखाना प्रबंधक/कारखाना कार्मिक अधिकारी- मंचेश्वर, अतिरिक्त रजिस्ट्रार/रेल दावा अधिकरण,
व.का अधिकारी(नि)/भुवनेश्वर
महा सचिव/इकोर थ. कां., महा सचिव/इकोर थ. यू.,
महा सचिव/एआई ओ बी सी आर ई ए, महा सचिव/ ए आई एस सी एस टी आर ई ए

Sub: Railway services (Conduct) Rules,1966- Revision of limit for intimation in respect of transactions in sale and purchase of shares, securities, debentures etc.

उपर्युक्त विषय पर बोर्ड पत्र सं E(D&A)2019 GS1-1 दिनांक 01.10.2019 की प्रतिलिपि सूचना. मार्गदर्शन एवं आवश्यक कार्रवाई हेतु अप्रेषित है।

A copy of the Board's Lr. No- E(D&A)2019 GS1-1 Dated 01.10.2019 on the above quoted subject is forwarded herewith for information, guidance and necessary action.

Encl: As above,

(G. Sathy)

Dy. Chief Personnel Officer(IR&W)
For Pr. Chief Personnel Officer

प्रतिलिपि प्रेषित/Copy to :

- 1) महाप्रबंधक के सचिव/पूर्व तट रेलवे, भुवनेश्वर
- 2) मुकाधि/मुकाधि(प्रशा.), उप म.प्र. अध्यक्ष(रेलवे भर्ती प्रकोष्ठ)
- 3) उप मुख्य प्रबंधक(मु.प्री), उप मुकाधि(औ.सं.एवं कल्याण), वकाधि(इंजी.)
- 4) वकाधि(मुख्या.), वकाधि(स्टाफ), वकाधि(राज. एवं न्याया.)
- 5) मुकाधि के निजी सचिव/सहा.कार्मिक अधि.(मुख्या.-1),सहा.कार्मिक अधि.(कल्याण).

1547
11/10/19

RBE No. 167/2019

क्र.सं/SI.No. RB-256!
दिनांक/Date 09/10
Initial



Government of India (Bharat Sarkar)
Ministry of Railways (Rail Mantralay)
Railway Board.

अ.प्र. (G.M.)
प्रा.स. (Secy.)
10/10/19
र.प्र. (Initial)
दिनांक/Date 09/10
D. U. In
CFO (K)
RCPD
11/10

No.E(D&A) 2019 GS1-1

New Delhi Dated: 27/09/2019

The General Managers,
All Indian Railways,
(As per standard list I & II).

01/10/2019

Sub: Railway Services (Conduct) Rules, 1966- Revision of limit for intimation in respect of transactions in sale and purchase of shares, securities, debentures etc.

A copy of the Department of Personnel's O.M. No.11013/6/2018-Estt. A-III dated 07.02.2019 relating to revision of limit for intimation of transactions in sale and purchase of shares, debentures, etc. is enclosed. Sub-rule (1) to Rule 16 and sub-rule(3) to Rule 18 of the CCS (Conduct) Rules, 1964 mentioned in the enclosed O.M. No.11013/6/2018-Estt. A-III dated 07.02.2019, correspond to sub-rule (1) to Rule 16 and sub-rule(3) to Rule 18 of the Railway Services (Conduct) Rules, 1966.

2. The instructions contained in the enclosed O.M. dated 07.02.2019 together with the proforma attached therewith, may be brought to the notice of all concerned for compliance.

Please acknowledge receipt.

DA: As above.

(Sunil Kumar)
Executive Director/Estt.
Railway Board

No.E(D&A) 2019 GS1-1

New Delhi Dated: 27/09/2019

Copy to: Federations etc. (As in Standard List III)

01/10/2019

(Renuka Nair)
Dy. Dir. E (D&A)
Railway Board

Copy to :- ERB-I, ERB-II, ERB-III, ERB-IV, ERB(VI), E(O)I, E(O)II, Vig.I, Sec.(E) and E(G) Branches of Railway Board's Office.

F. No. 11013/6/2018-Estt.A-III
Government of India
Ministry of Personnel, Public Grievances and Pension
Department of Personnel & Training
Establishment A-III Desk

North Block, New Delhi-110001
Date: 07.02.2019

OFFICE MEMORANDUM

Subject: CCS (Conduct) Rules, 1964 - Revision in limit for intimation in respect of transactions in sale and purchase of shares, securities, debentures etc.

The undersigned is directed to refer to this Department's O.M. No. 11013/6/91-Ests.(A) dated 08.04.1992 prescribing the following limit of transactions in shares, securities, debentures or mutual funds scheme, etc for intimation to Government in a prescribed format:

- (i) **Group 'A' and 'B' Officers** - If the total transaction in shares, securities, debentures or mutual funds scheme etc. exceeds Rs. 50,000/- during the calendar year.
- (ii) **Group 'C' and 'D' Officers** - If the total transaction in shares, securities, debentures or mutual funds scheme etc. exceeds Rs. 25,000/- during calendar year.

2. Sub-rule (1) of the Rule 16 provides that no Government servant shall speculate in any stock, share or other investment. It has also been explained that frequent purchase or sale or both, of share, securities or others investments shall be deemed to be speculation within the meaning of this sub-rule. But, the occasional investments made through stock brokers or other persons duly authorized and licensed or who have obtained a certificate of registration under the relevant laws is allowed in this rule. With a view to enable the administrative authorities to keep a watch over such transaction, it has been decided that an intimation may be sent in the enclosed proforma to the prescribed authority in respect of all Government servants, if the total transactions in shares, securities, debentures, mutual funds scheme, etc. exceeds **six months' basic pay of Government servant** during the calendar year (to be submitted by 31st January of subsequent calendar year).

Contd..

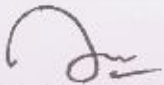
3. It is also clarified that since shares, securities, debentures, etc. are treated as movable property for the purpose of Rule 18(3) of CCS(Conduct) Rules, 1964, if an individual transaction exceeds the amount prescribed in Rule 18(3), the intimation to the prescribed authority would still be necessary. The intimation prescribed in para 2 above will be in addition to this, where cumulative transaction(s) i.e. sale, purchase or both in shares, securities, debentures or mutual funds, etc. in a year exceed the limits indicated in para 2 above.

4. This Office Memorandum issues in supersession of this Department's O.M. No. 11013/6/91-Ests.(A) dated 08.04.1992.

5. In so far as the personnel serving in Indian Audit and Accounts Department are concerned, these instructions are being issued after consultation with the Comptroller and Auditor General of India.

6. All Ministries/ Departments are requested to bring these instructions to the notice of all concerned authorities under their control.

7. Hindi version will follow.


(Satish Kumar)

Under Secretary to the Govt. of India

To

The Secretaries of All Ministries/Departments
(as per the standard list)

Copy to:

1. President's Secretariat, New Delhi.
2. Vice-President's Secretariat, New Delhi.
3. The Prime Minister's Office, New Delhi.
4. Cabinet Secretariat, New Delhi.
5. Rajya Sabha Secretariat/Lok Sabha Secretariat, New Delhi.
6. The Comptroller and Auditor General of India, New Delhi.
7. The Secretary, Central Vigilance Commission
8. The Secretary, Union Public Service Commission, New Delhi.
9. The Secretary, Staff Selection Commission, New Delhi.
10. All attached offices under the Ministry of Personnel, Public Grievances and Pensions.

Contd..

**Proforma for intimation under Rule 18(4) of CCS (Conduct) Rules, 1964
for transactions in shares, securities, debentures, investment in mutual
fund schemes, etc.**

1. Name of the Government servant : _____
 - (a) Designation: _____
 - (b) Service to which belongs: _____
 - (c) Employee No./ Code No.: _____
2. Scale of Pay and present pay: _____
3. Details of each transaction made in shares, securities, debentures, mutual funds scheme, etc. during the calendar year. _____
4. Particulars of the party/firm with whom transaction(s) is made:-
 - (a) Is party related to the applicant? _____
 - (b) Did the applicant have any dealings with the party in his/her official capacity at any time or is the applicant likely to have any dealings with him in the near future. _____
5. Source(s) from which financed:-
 - (a) Personal savings.
 - (b) Other sources giving details
6. Any other relevant fact which applicant may like to mention.

Declaration

I hereby declare that the particulars given above are true.

Place:

Date:

Signature
Designation